



2681

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

| | |
|------------------------|-------------|
| Application Number | 10/064,933 |
| Filing Date | 08/30/2002 |
| First Named Inventor | Yi-Wen Shih |
| Group Art Unit | 2681 |
| Examiner Name | |
| Attorney Docket Number | LUCP0003USA |

Total Number of Pages in This Submission

6

ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
☐ Fee Attached
- ☒ Amendment / Reply
☐ After Final
☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☐ Other Enclosure(s) (please identify below):

Remarks

RECEIVED

JUN 30 2003

Technology Center 2600

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENTFirm
or
Individual name

Winston Hsu

Signature

Winston Hsu

Date

6/23/2003

CERTIFICATE OF MAILINGI hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

Typed or printed name

Signature

Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Approved for use through 04/30/2003. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 0.00

Complete if Known

Application Number 10/064,933

Filing Date 08/30/2002

First Named Inventor Yi-Wen Shih

Examiner Name

Art Unit 2681

Attorney Docket No. LUCP0003USA

RECEIVED

JUN 30 2003

Technology Center 2600

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number
Deposit Account Name

50-0801

North America International Patent Office

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|------------------------|------------|
| 1001 750 | 2001 375 | Utility filing fee | |
| 1002 330 | 2002 165 | Design filing fee | |
| 1003 520 | 2003 260 | Plant filing fee | |
| 1004 750 | 2004 375 | Reissue filing fee | |
| 1005 160 | 2005 80 | Provisional filing fee | |
| SUBTOTAL (1) | | | (\$) 0.00 |

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Independent Claims | -20** = | X | |
| Multiple Dependent | -3** = | X | |
| | | | 0.00 |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description |
|----------------------------|----------------------------|--|
| 1202 18 | 2202 9 | Claims in excess of 20 |
| 1201 84 | 2201 42 | Independent claims in excess of 3 |
| 1203 280 | 2203 140 | Multiple dependent claim, if not paid |
| 1204 84 | 2204 42 | ** Reissue independent claims over original patent |
| 1205 18 | 2205 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$) 0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|--|----------|
| 1051 130 | 2051 65 | Surcharge - late filing fee or oath | |
| 1052 50 | 2052 25 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 130 | 1053 130 | Non-English specification | |
| 1812 2,520 | 1812 2,520 | For filing a request for <i>ex parte</i> reexamination | |
| 1804 920* | 1804 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 1,840* | 1805 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 110 | 2251 55 | Extension for reply within first month | |
| 1252 410 | 2252 205 | Extension for reply within second month | |
| 1253 930 | 2253 465 | Extension for reply within third month | |
| 1254 1,450 | 2254 725 | Extension for reply within fourth month | |
| 1255 1,970 | 2255 985 | Extension for reply within fifth month | |
| 1401 320 | 2401 160 | Notice of Appeal | |
| 1402 320 | 2402 160 | Filing a brief in support of an appeal | |
| 1403 280 | 2403 140 | Request for oral hearing | |
| 1451 1,510 | 1451 1,510 | Petition to institute a public use proceeding | |
| 1452 110 | 2452 55 | Petition to revive - unavoidable | |
| 1453 1,300 | 2453 650 | Petition to revive - unintentional | |
| 1501 1,300 | 2501 650 | Utility issue fee (or reissue) | |
| 1502 470 | 2502 235 | Design issue fee | |
| 1503 630 | 2503 315 | Plant issue fee | |
| 1460 130 | 1460 130 | Petitions to the Commissioner | |
| 1807 50 | 1807 50 | Processing fee under 37 CFR 1.17(q) | |
| 1806 180 | 1806 180 | Submission of Information Disclosure Stmt | |
| 8021 40 | 8021 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 750 | 2809 375 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1810 750 | 2810 375 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1801 750 | 2801 375 | Request for Continued Examination (RCE) | |
| 1802 900 | 1802 900 | Request for expedited examination of a design application | |

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0.00

SUBMITTED BY

Name (Print/Type) Winston Hsu

Signature

Registration No. (Attorney/Agent)

41,526

(Complete if applicable)

Telephone 886289237350

Date

6/23/2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



3
afg
7/8

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

5 Applicants: Yi-Wen Shih, Hsin-Neng Hus, Hsi-Kun Chen,
Hsu-Hsiang Tseng, Fueng-Ho Chen

Filing Date: 08/30/2002 Art Unit: 2681

App. No.: 10/064,933 Docket No.: LUCP0003USA

10 Title: Method for Assigning Downlink Radio Frequencies in
a Frequency-reusing Radio Communications System

To: Commissioner for Patents
P.O. BOX 1450

15 Alexandria, VA 22313-1450

RECEIVED

JUN 30 2003

Technology Center 2600

Subject: Typographical error corrections

Dear Sir or Madame:

20

The following is a request to correct the application data
sheet, in conjunction with noting a typographical error in
the declaration for the above-indicated application.

25 **1. Typographical error in declaration:**

In accordance with MPEP 605.04(b), typographical errors
that are noted in an inventor's name during pendency of an
application neither require a petition nor a new oath under
37 CFR 1.63. Reference is merely drawn to this typographical
30 error.

The fifth named inventor in the above application was

originally provided on the declaration with the following typed information:

5 Printed Name: Hueng-Ho Chen
Post Office Address: 3F, No.121, Huan-Si Rd.,
Chung-Li City
and Residence: Tao-Yuan Hsien, Taiwan,
R.O.C.
Citizen of: R.O.C.

10

A typographical error was made with the name of this inventor in the declaration. Specifically, the inventor's name should read "Fueng-Ho Chen". Consequently, the above personal data should read in the corrected form in the
15 declaration as:

Printed Name: Fueng-Ho Chen
Post Office Address: 3F, No.121, Huan-Si Rd.,
Chung-Li City
20 and Residence: Tao-Yuan Hsien, Taiwan,
R.O.C.
Citizen of: R.O.C.

25 **2. Request corrections to the Application Data Sheet**

Because of the error noted above, and additionally due to another typographical error particular to the application data sheet itself, changes are requested for the Application Data Sheet. These changes include the following:

30

a) The second named inventor was incorrectly provided a family name of "Hsu". Consistent with the family name on the

declaration, this family name should instead be "Hus". It is thus requested that the personal information for the second inventor read as follows:

5 Primary Citizenship: R.O.C.
 Given Name: Hsin-Neng
 Family Name: Hus
 Residence City: Tao-Yuan Hsien
 Residence Country: TW
10 Address: No.15, Lane 42, San-Min Rd.,
 Kuan-Yin Hsiang, Tao-Yuan
 Hsien, Taiwan, R.O.C.

 Tao-Yuan Hsien, TW

15

b) As previously indicated, the fifth named inventor was incorrectly provided a given name of "Hueng-Ho". Consistent with the correction indicated above, this name should instead
20 be "Fueng-Ho". It is thus requested that the personal information for the second inventor read as follows:

 Primary Citizenship: R.O.C.
 Given Name: Fueng-Ho
25 Family Name: Chen
 Residence City: Tao-Yuan Hsien
 Residence Country: TW
 Address: 3F, No.121, Huan-Si Rd.,
 Chung-Li City, Tao-Yuan Hsien,
30 Taiwan, R.O.C.

 Tao-Yuan Hsien, TW

Sincerely yours,

5

Winston Hsu

Date: 6/23/2003

Winston Hsu, Patent Agent No.41,526

P.O. BOX 506

10 Merrifield, VA 22116

U.S.A.

e-mail: winstonhsu@naipo.com.tw